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EXAMINER

GREGG, MARY M

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PAPER NUMBER

3694

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PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	Application No. 10/769,036	Applicant(s) SOKOLIC ET AL.	
	Examiner MARY GREGG	Art Unit 3694	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 27 August 2008.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-3 and 5-25 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-3 and 5-25 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 30 January 2004 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)          | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

MMG

### **DETAILED ACTION**

1. The following is a Final Office Action in response to communications received August 27, 2008. Claim 4 has been canceled. Claims 1, 18 and 22-24 have been amended. No new claims have been added. Therefore, claims 1-3 and 5-24 are pending and addressed below.

#### ***Response to Amendment***

##### *Drawings*

2. Applicant's amendments with respect to the objections for FIG. 5 for failing to comply with 37CFR 1.84(p)(5) are sufficient to overcome the objection, the examiner withdraws the objection.

##### *Specification*

Applicant's amendments in response the examiners objection for improperly citing trademarked information are sufficient to overcome the objection, the examiner withdraws the objection.

##### *Claim Rejections - 35 USC § 112*

3. Applicant's cancellation of claim 4 is sufficient to overcome the examiner's 35 USC 112, 1st and 2nd paragraph rejection. Examiner withdraws the rejections.

##### *Claim Rejections - 35 USC § 101*

4. Applicant's cancellation of claim 4 is sufficient to overcome the examiner's 35 USC 101 rejection. Examiner withdraws the rejections.

##### *Claim Rejections - 35 USC § 103*

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5. Applicant's amendments to claims 1, 18 and 22-24 are sufficient to overcome the examiner's rejection under 35 USC 103 for US Pub 2002/0184170 A1 by Gilbert et al. and in view of US Pub No. 2002/0147727 A1 by Schreiber. Applicant's amendments to claims 1, 18 and 22-24 are sufficient to overcome the examiner's rejection under 35 USC 103 for US Pub 2002/0184170 A1 by Gilbert et al. and in view of US Pub No. 2002/0147727 A1 by Schreiber and further in view of "Dictionary of Finance and Investment Terms 5<sup>th</sup> edition by John Downes A.B. and Jordan Elliot Goodman, A.B., M.A. See rejection due to amendment below.

***Response to Arguments***

6. Applicant's arguments with respect to claims 1-3 and 5-25 have been considered but are moot in view of the new ground(s) of rejection.

***Claim Rejections - 35 USC § 103***

7. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

8. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was

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not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

9. Claims 1-2, 5, 10, 12-15, 17, 22 and 25 rejected under 35 U.S.C. 103(a) as being unpatentable over US Patent No. 5,227,967 by Bailey (Bail).

In reference to Claim 1:

(Currently amended) A method comprising: retrieving financial data from a data source, wherein the financial data includes a plurality of financial data elements, wherein data elements comprise: ticker symbols ((Bail) FIG. 9 Block 7; Table I label 26; Col 11 line 50), security names ((Bail) Col 4 lines 57-58), number of shares ((Bail) Col 11 lines 51-52), date purchased ((Bail) FIG. 6), date sold, coupon rate ((Bail) Col 2 lines 34-35, Col 8 lines 16-17), maturity date ((Bail) FIG. 5), security type ((Bail) FIG. 5; Col 11 lines 52-53), and industry classification ((Bail) FIG. 3-6, FIG. 7, FIG. 12, FIG. 13; Col 4 lines 18-20, Col 6 lines 15-20, 65-67, Col 7 lines 10-15, 65-67); identifying a plurality of rules associated with the financial data elements, wherein the plurality of rules comprise generic rules ((Bail) FIG. 12; Col 4 lines 17-20, 35-48, Col 6 lines 4-6, 14-20, 65-68, Col 7 lines 19-25, 45-50, 65-68), and financial institution specific (FI- specific) rules ((Bail) FIG. 3-6, FIG. 9, FIG. 10, FIG. 12; Col 4 lines 38, 47-48, Col 6 lines 51-55, 67-68, Col 7 lines 65-68, Col 8 lines 7-25, Col 9 lines 67-68, Col 10 lines 1-9); applying the plurality of rules associated with the financial data elements to the financial data elements ((Bail) FIG. 4-6, FIG. 7, Fig. 8, FIG. 9, FIG. 12A-D; Col 6 lines 20-33, 50-55, Col 7 lines 1-2, 10-17, Col 10 lines 1-15); associating each of the plurality of financial data elements

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with an identifier when a single identifier match is found ((Bail) FIG. 6, FIG. 11; Col 4 lines 52-65, Col 5 lines 16-25, Col 6 lines 40-47) ; when a single identifier match is not found, determining whether an additional rule applies, and if an additional rule applies, applying the additional rule, wherein determining includes determining whether an additional generic rule applies; if there is no single identifier match upon applying a generic rule, and no further generic rules apply, applying an FI-specific rule; and identifying additional information regarding each financial data element using the identifier associated with the financial data element ((Bail) Col 5 lines 15-25, Col 6 lines 60-68).

Although Bail does not explicitly list all the attributes: date sold, ...and industry classification, Bail does teach explicitly some of the listed attributes and teaches classifying by general (high level) and specific attributes and assigning identifiers for each and every possible attribute associated with a high level financial instrument. The method as taught by Bail therefore, implicitly encompasses the attributes listed by the applicant. Therefore, the inclusion of these attributes as well as others would have been obvious to one of ordinary skill in the art at the time of the invention.

In reference to Claim 2:

(Original) A method as recited in claim 1 (see rejection of claim above) further comprising storing each of the plurality of financial data elements and the identifier associated with each financial data element ((Bail) Col 4 lines 40-68; wherein the prior art teaches information stored in tables).

In reference to Claim 5:

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(Original) A method as recited in claim 1 (see rejection of claim 1 above) wherein the identifier is an asset identifier ((Bail) FIG. 6, FIG. 11; Col 4 lines 52-65, Col 5 lines 16-25, Col 6 lines 40-47).

In reference to Claim 10:

(Original) A method as recited in claim 1 (see rejection of claim 1 above) further comprising associating an exception identifier with each financial data element for which an associated identifier is not identified ((Bail) FIG. 11; Col 4 lines 29-65, Col 5 lines 17-25, Col 6 lines 37-49).

In reference to Claim 12:

(Original) A method as recited in claim 10 further comprising generating a new rule to associate identifiers with financial data elements having an associated exception identifier((Bail) FIG. 11; Col 4 lines 29-65, Col 5 lines 17-25, Col 6 lines 37-49).

In reference to Claim 13:

(Original) A method as recited in claim 1 (see rejection of claim 1 above) wherein applying the plurality of rules includes applying the plurality of rules in a particular order ((Bail) Col 4 lines 40-65).

In reference to Claim 14:

Bail teaches:

(Original) A method as recited in claim 1 (see rejection of claim 1 above) further comprising retrieving ...information regarding the financial data elements from a financial database (tables)

Bail suggest but does not explicitly teach:



...the additional information...

Although Bail does not explicitly teach “retrieving additional information”, Bail explicitly teaches attributes (information) stored in hierarchical tables to be accessed as required or queried by the user. Additionally, Bail teaches each element is coordinated with a specific ID. This suggest and implies retrieving additional or more information further down in the hierarchy or by ID and therefore would have been obvious to one of ordinary skill in the art at the time of the invention.

In reference to Claim 15:

(Original) A method as recited in claim 1 (see rejection of claim 1 above) further comprising retrieving additional information associated with the financial data elements from an asset ID database (table) ((Bail) FIG. 6, FIG. 11; Col 4 lines 52-65, Col 5 lines 16-25, Col 6 lines 40-47).

In reference to Claim 17:

(Original) One or more computer-readable memories containing a computer program that is executable by a processor to perform the method recited in claim 1 ((Bail) Abstract, Col 1 lines 6-9, Col 4 lines 10-11)

In reference to Claim 22:

(Currently amended) A method comprising: retrieving financial data from a plurality of financial accounts; identifying data elements contained in the retrieved financial data, wherein data elements comprise: ticker symbols ((Bail) FIG. 9 Block 7; Table I label 26; Col 11 line 50), security names ((Bail) Col 4 lines 57-58), number of shares ((Bail) Col 11 lines 51-52), date purchased ((Bail) FIG. 6), date sold, coupon rate

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((Bail) Col 2 lines 34-35, Col 8 lines 16-17), maturity date ((Bail) FIG. 5), security type ((Bail) FIG. 5; Col 11 lines 52-53), and industry classification ((Bail) FIG. 3-6, FIG. 7, FIG. 12, FIG. 13; Col 4 lines 18-20, Col 6 lines 15-20, 65-67, Col 7 lines 10-15, 65-67); identifying generic rules for associating asset identifiers with the data elements ((Bail) FIG. 12; Col 4 lines 17-20, 35-48, Col 6 lines 4-6, 14-20, 65-68, Col 7 lines 19-25, 45-50, 65-68); determining whether there is a single asset identifier match; if there is a single asset identifier match, associating the asset identifier with a data element; if there is not a single asset identifier match, determining whether there are additional generic rules to apply ((Bail) Col 6 lines 4-35); and if there is no single asset identifier match and there are no additional generic rules to apply, applying at least one financial institution-specific (FI-specific) rule ((Bail) Col 5 lines 15-25, Col 6 lines , 37-47, 60-68).

In reference to Claim 25:

(Original) One or more computer-readable memories containing a computer program that is executable by a processor to perform the method recited in claim 22 ((Bail) Abstract, Col 1 lines 6-9, Col 4 lines 10-11)

10. Claim 3, 6-9, 16 and 18-21 are rejected under 35 U.S.C. 103(a) as being unpatentable over US Patent No. 5,227,967 by Bailey (Bail) as applied to claim 1 above with respect to claims 3, 6-9 and 16, and further in view of US Pub No 20020184170 A1 by Gilbert et al (Gil).

In reference to Claim 3:

Bail teaches:

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(Original) A method as recited in claim 1 (see rejection of claim above) wherein the data source...

Bail does not explicitly teach:

... is a web site.

Gil teaches:

... data source is a web site ((Gil) para 0018 lines 6-9, para 0023).

Both Bail and Gil are explicitly directed toward data aggregation and management. Bail teaches explicitly of a computer system receiving, storing and retrieving data. Gil teaches computer systems receiving data from web sources. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention apply a known technique to a known device ready for improvement to yield predictable results.

In reference to Claim 6:

Bail teaches:

(Original) A method as recited in claim 1 (see rejection of claim 1 above) wherein the identifier is associated with ((Bail) FIG. 6, FIG. 11; Col 4 lines 52-65, Col 5 lines 16-25, Col 6 lines 40-47)...

Bail does not explicitly teach:

... a particular financial institution

Gil teaches:

... a particular financial institution ((Gil) para 0067 lines 6-8)

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Both Bail and Gil are explicitly directed toward data content management wherein explicit details on data is parsed by content and Bail teaches explicitly of collecting and coordinating specific data with other relevant data. Gil teaches the motivation that information such as the originator of the source data, the recipient and other information might be useful and relevant. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention include an additional useful and relevant element as taught by Gil with the teachings of Bail for collecting data attributes.

In reference to Claim 7:

Bail teaches:

(Original) A method as recited in claim 1 (see rejection of claim 1 above) further comprising ...a standard ticker symbol format ((Bail) FIG. 9 Block 7; Table I label 26; Col 11 line 50).

Bail does not explicitly teach:

...converting data elements representing ticker symbols to a standard ticker symbol format

Gil teaches:

...converting data elements...to a standard ... format ((Gil) para 0050 lines 2-8).

Both Bail and Gil are explicitly directed toward receiving, cataloging, storing and accessing data. Gil teaches the motivation of normalizing data to remove inconsistencies between similar or identical data. Therefore, it would have been

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obvious to one of ordinary skill in the art at the time of the invention to include the teachings of Gil with Bail in order to remove inconsistencies between similar or identical data received.

In reference to Claim 8:

Bail teaches:

(Original) A method as recited in claim 1 (see rejection of claim 1 above) further comprising ...

Bail does not explicitly teach:

...converting data elements representing security names to a standard security name format

Gil teaches:

...converting data elements representing security names to a standard security name format ((Gil) para 0050 lines 2-8).

Both Bail and Gil are explicitly directed toward receiving, cataloging, storing and accessing data. Gil teaches the motivation of normalizing data to remove inconsistencies between similar or identical data. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to include the teachings of Gil with Bail in order to remove inconsistencies between similar or identical data received.

In reference to Claim 9:

Bail teaches:

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(Original) A method as recited in claim 1 (see rejection of claim 1 above),  
wherein applying the plurality of rules includes

Bail does not explicitly teach:

...matching data elements to a standard security name format

Gil teaches:

...matching data elements to a standard security name format ((Gilbert para  
0027 lines 1-3, 5-9, para 0020 lines 4-6, para 0021 lines 1-2, para 0047)

Gil teaches that in order to have effective management of content data requires  
data manipulation such as normalization of data, and validation of transformation ((Gil  
para 0020) and the motivation of standardizing names and description so that proper  
analysis or comparison can be made. Bail teaches explicitly of grouping attributes that  
are similar or the same in the same set or sub-set. Therefore, it would have been  
obvious to one of ordinary skill in the art at the time of the invention to combine the  
teachings of Gil with Bail in order analyze and compare the attributes properly.

In reference to Claim 16:

Bail teaches:

(Original) A method as recited in claim 1 (see rejection of claim above) further  
comprising ...the plurality of financial data elements

Bail does not explicitly teach:

...normalizing...

Gil teaches:

...normalizing... ((Gil) para 0020 line 4, para 0027 lines 1-5)

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Bail does not explicitly teach normalization of data elements, Bail does teach grouping data element of the same attributes. Both Bail and Gil are explicitly directed toward receiving, cataloging, storing and accessing data. Gil teaches the motivation of normalizing data to remove inconsistencies between similar or identical data.

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to include the teachings of Gil with Bail in order to remove inconsistencies between similar or identical data received.

In reference to Claim 18:

Bail teaches:

(Currently amended) A method comprising: ...wherein the financial data includes a plurality of financial data elements wherein data elements comprise: ticker symbols ((Bail) FIG. 9 Block 7; Table I label 26; Col 11 line 50), security names ((Bail) Col 4 lines 57-58), number of shares ((Bail) Col 11 lines 51-52), date purchased ((Bail) FIG. 6), date sold, coupon rate ((Bail) Col 2 lines 34-35, Col 8 lines 16-17), maturity date ((Bail) FIG. 5), security type ((Bail) FIG. 5; Col 11 lines 52-53), and industry classification ((Bail) FIG. 3-6, FIG. 7, FIG. 12, FIG. 13; Col 4 lines 18-20, Col 6 lines 15-20, 65-67, Col 7 lines 10-15, 65-67); applying rules to associate each of the plurality of financial data elements with an asset identifier wherein the rules comprise generic rules ((Bail) FIG. 12; Col 4 lines 17-20, 35-48, Col 6 lines 4-6, 14-20, 65-68, Col 7 lines 19-25, 45-50, 65-68), and financial institution-specific (FI specific) rules ((Bail) FIG. 3-6, FIG. 9, FIG. 10, FIG. 12; Col 4 lines 38, 47-48, Col 6 lines 51-55, 67-68, Col 7 lines 65-68, Col 8 lines 7-25, Col 9 lines 67-68, Col 10 lines 1-9); and sorting the plurality of financial data

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elements based on the associated asset identifier((Bail) FIG. 4-6, FIG. 7, Fig. 8, FIG. 9, FIG. 11, FIG. 12A-D; Col 6 lines 20-33, 50-55, Col 7 lines 1-2, 10-17, Col 10 lines 1-15);

Bail does not explicitly teach:

...accessing a web page associated with a financial institution; retrieving data from the web page using a data harvesting script; identifying financial data contained in the data retrieved from the web page, ...

Gil teaches:

...accessing a web page associated with a financial institution ((Gil) para 0018 lines 6-9, para 0023); retrieving data from the web page using a data harvesting script ((Gil) para 0040 lines 4-5); identifying financial data contained in the data retrieved from the web page, ((Gil) para 0048 lines 1-6)...

Both Bail and Gil are explicitly directed toward data aggregation and management. Bail teaches explicitly of a computer system receiving, storing and retrieving data. Gil teaches computer systems receiving data from web sources. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention apply a known technique to a known device ready for improvement to yield predictable results.

In reference to Claim 19:

The combination Bail and Gil teach:

(Original) A method as recited in claim 18 (see rejection of claim 18 above) further comprising storing each of the plurality of financial data elements and the asset identifier associated with the financial data element ((Bail) Col 4 lines 40-68; wherein



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the prior art teaches information stored in tables; (Gil) para 0037 line 3, para 0048 lines 4-6).

In reference to Claim 20:

The combination Bail and Gil teach:

(Original) A method as recited in claim 18 (see rejection of claim above) further comprising ...

The combination does not explicitly teach:

...converting each of the plurality of financial data elements from a first format to a second format

Gil teaches:

converting each of the plurality of financial data elements from a first format to a second format ((Gil) para 0046 lines 6-7, para 0048 lines 13-18).

The combination is explicitly directed toward receiving data from multiple sources. Gil teaches the motivation of putting data in a format that can be used by the content recipients. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to combine the teachings of the combination and Gil in order to allow the data content to be used by all recipients of the data.

In reference to Claim 21:

The combination Bail and Gil teach:

(Original) One or more computer-readable memories containing a computer program that is executable by a processor to perform the method recited in claim 18

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((Bail) Abstract, Col 1 lines 6-9, Col 4 lines 10-11; (Gil) para 0012 line 3, para 0060 lines 5-6)

11. Claim 11 rejected under 35 U.S.C. 103(a) as being unpatentable over US Patent No. 5,227,967 by Bailey (Bail) as applied to claims 1 and 10 above, and further in view of US Pub No. 2004/0078355 A1 by Suresh (Sure)

In reference to Claim 11:

Bail teaches:

(Original) A method as recited in claim 10 (see rejection of claim 10 above) further comprising ...associating identifiers with financial data elements having an associated exception identifier((Bail) FIG. 11; Col 4 lines 29-65, Col 5 lines 17-25, Col 6 lines 37-49).

Bail does not teach:

...manually...

Sure teaches:

...manually...((Sure) para 0061 lines 8-9)

Both Bail and Sure teach a preferred embodiment of linking data automatically. Sure teaches that although automation is preferred an alternate linking of data can be performed manually by the user. Additionally, Bail teaches explicitly that storing, retrieving is determined by the prospective users or may be determined by the system ((Bail) Col 5 lines 57-60). This implies manual input on associating data. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to combine the prior art elements according to known methods to yield predictable results.

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12. Claim 23-24 are rejected under 35 U.S.C. 103(a) as being unpatentable over US Patent No. 5,227,967 by Bailey (Bail) as applied to claim 22 above, and further in view of US Pub. No 2002/0147727 A1 by Schreiber (Schreiber)

In reference to Claim 23:

Bail teaches:

(Currently amended) A method as recited in claim 22 (see rejection of claim 22 above) further comprising: determining whether at least one data element has multiple associated asset identifiers after applying one or more of the generic ((Bail) FIG. 12; Col 4 lines 17-20, 35-48, Col 6 lines 4-6, 14-20, 65-68, Col 7 lines 19-25, 45-50, 65-68) rules and the FI-specific rules ((Bail) Col 4 lines 40-67, Col 6 lines 37-47): and ... one or more of the generic ((Bail) FIG. 12; Col 4 lines 17-20, 35-48, Col 6 lines 4-6, 14-20, 65-68, Col 7 lines 19-25, 45-50, 65-68) rules and the FI-specific ((Bail) FIG. 3-6, FIG. 9, FIG. 10, FIG. 12; Col 4 lines 38, 47-48, Col 6 lines 51-55, 67-68, Col 7 lines 65-68, Col 8 lines 7-25, Col 9 lines 67-68, Col 10 lines 1-9) rules to associate a single asset identifier with at least one data element

Bail suggest but does not teach explicitly:

... modifying ((Bail) Col 5 lines 60-65; wherein Bail teaches the user can determine what data is stored and retrieved).

Schreiber teaches:

... modifying one or more of the ... rules ((Schreiber) para 0173 lines 3-6, para 0273 lines 1-2, 4-7) and ... rules to associate a single asset identifier with at least one data element ((Schreiber) para 0070 lines 1-3, para 0264 lines 3-5, para 0269 lines 5-7)

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Schreiber teaches explicitly of identifiers or code location and limiting modification errors which cause incorrect data being processed (Schreiber, (para) 0042 lines 2-3, 4-9). Whereas Bail teaches user preferences with respect to the storage and retrieval of the data which implies customization of the classification (rules) of the attributes. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to combine the prior art elements according to known methods to yield predictable results.

In reference to Claim 24:

(Currently amended) A method as recited in claim 22 (see rejection of claim 22 above) further comprising: determining whether at least one data element does not have an associated asset identifier after applying one or more of the generic ((Bail) FIG. 12; Col 4 lines 17-20, 35-48, Col 6 lines 4-6, 14-20, 65-68, Col 7 lines 19-25, 45-50, 65-68)rules and the FI-specific ((Bail) FIG. 3-6, FIG. 9, FIG. 10, FIG. 12; Col 4 lines 38, 47-48, Col 6 lines 51-55, 67-68, Col 7 lines 65-68, Col 8 lines 7-25, Col 9 lines 67-68, Col 10 lines 1-9)rules; and modifying the one or more of the generic ((Bail) FIG. 12; Col 4 lines 17-20, 35-48, Col 6 lines 4-6, 14-20, 65-68, Col 7 lines 19-25, 45-50, 65-68)rules and the FI-specific ((Bail) FIG. 3-6, FIG. 9, FIG. 10, FIG. 12; Col 4 lines 38, 47-48, Col 6 lines 51-55, 67-68, Col 7 lines 65-68, Col 8 lines 7-25, Col 9 lines 67-68, Col 10 lines 1-9)rules to associate an asset identifier with at least one data element

Bail suggest but does not teach explicitly:

... modifying ((Bail) Col 5 lines 60-65; wherein Bail teaches the user can determine what data is stored and retrieved).

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Schreiber teaches:

... modifying one or more of the ... rules ((Schreiber) para 0173 lines 3-6, para 0273 lines 1-2, 4-7) and ... rules to associate a single asset identifier with at least one data element ((Schreiber) para 0042 lines 2-3, 7-9, para 0070 lines 1-3, para 0264 lines 3-5, para 0269 lines 5-7)

Schreiber teaches explicitly of identifiers or code location and limiting modification errors which cause incorrect data being processed (Schreiber, (para) 0042 lines 2-3, 4-9). Whereas Bail teaches user preferences with respect to the storage and retrieval of the data which implies customization of the classification (rules) of the attributes. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to combine the prior art elements according to known methods to yield predictable results.

### ***Conclusion***

13. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any

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extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

14. Any inquiry concerning this communication or earlier communications from the examiner should be directed to MARY GREGG whose telephone number is (571)270-5050. The examiner can normally be reached on 4/10.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, James Trammell can be reached on 5712726712. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

15. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/M. G./  
Examiner, Art Unit 3694

/Mary Cheung/  
Primary Examiner, Art Unit 3694

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